

11/13/02 0130 0500 0120 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, DC 20231

Re: Our file: 7616/42/5
Applicant: Sturm, et al.
Serial No.: 10/089,830
Filing Date: 04/04/02
Title: Increased Emission Efficiency for Organic Light Emitting Diodes

Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

1. Transmittal of Information Disclosure Statement
2. Information Disclosure Statement
3. Form PTO-1449
4. Copies of references from Form PTO-1499
5. Transmittal Sheet
6. Postcard Receipt

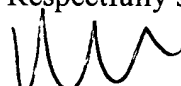
CONDITIONAL PETITION

If any extension of time is required for the submission of the above-identified items, Applicant requests that this be considered a petition therefor. Please charge any additional charges or any other charges relating to this matter to the deposit account of the writer, **Account No. 06-2143**. A duplicate copy of this letter is enclosed.

11/7/02
Date

enc.

Respectfully submitted,


Michael R. Friscia
Registration No. 33,884
Wolff & Samson
5 Becker Farm Road
Roseland, New Jersey 07068-1776
Tel: (973) 533-6599
Fax: (973) 436-4499

I hereby certify that this correspondence is being deposited with the United States Postal Service, First Class Mail, postage prepaid, to the Assistant Commissioner for Patents, Washington, DC 20231 on 11/7/02.

By:


Michael R. Friscia

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
7616/42/5

In Re Application Of: **Sturm, et al.**

Serial No.
10/089,830

Filing Date
04/04/02

Examiner

Group Art Unit

TOP: Increased Emission Efficiency for Organic Light Emitting Diodes

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NFC: 11/05/02

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
7616/42/5

In Re Application: Sturm, et al.

Serial No.

10/089,830

Filing Date

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Examiner

Group Art Unit

Increased Emission Efficiency for Organic Light Emitting Diodes

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited
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as first class mail under 37 C.F.R. 1.8 and is
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Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael R. Friscia

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Signature

Dated: 11/7/02

Michael R. Friscia
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CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 7616/416

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TECHNOLOGY CENTER R3700

#6
D. Scott
4/29/03

In re Application of: Sturm, et al.

Filed: 04/04/02

Serial No.: 10/089,830

For: Increased Emission Efficiency for Organic Light Emitting Diodes

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

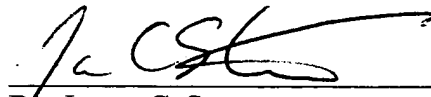
In accordance with 37 C.F.R. § 1.56, Applicant submits herewith patents, publications or other information of which they are aware, listed on form PTO-1449, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose. Legible copies of all items listed on form PTO-1449 accompany this information disclosure statement.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO-1449 with initials or other appropriate marks.

The person making this statement is the inventor who signs below.

Date: 10/21/02


By: James C. Sturm
Inventor of the above-identified
application

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

7616/42/5

Application Number

10/089,830

Applicant(s)

Sturm, et al.

Filing Date

04/04/02

Group Art Unit

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,936,347	08/10/99	Isaka, et al.	313	509	07/24/96
		5,814,416	09/29/98	Dodabalapur, et al.	428	690	04/10/96
		5,618,626	04/08/97	Nagashima, et al.	428	429	12/19/95
		5,371,434	12/06/94	Rawlings	313	506	02/23/93

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FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.